

Risk status report for AS

Annual report for 2021/22 and 2021

No headings

Filtered by Prefix: Include Risk Prefix: ST, CP

Key to Performance Status:

Risks:	Review overdue (0+)	Very High (9+)	High (6+)	Medium (3+)	Low (1+)
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Risks						
Status	Code	Title	Risk Impact	Risk Likelihood	Executive Member	Risk Responsible Officer
High (6)	ST02	<u>Failure to control and manage finance</u>	2 - Medium	3 - High	Corporate Resources	Martin Flitcroft, Philip Shears
High (6)	CP11	<u>Breach of Code of Conduct by Members</u>	2 - Medium	3 - High	Corporate Resources	Karen Trickey, Paul Woodhead
High (6)	CP09	<u>Staff health and wellbeing (was Staff Absence/Stress/Morale)</u>	3 - High	2 - Medium	Corporate Resources	Tim Slater
High (6)	ST29	<u>Failure to maintain sufficient management capacity & capability</u>	3 - High	2 - Medium	Strategic Direction	Neil Blaney, Martin Flitcroft, Lorraine Montgomery, Amanda Pujol, Philip Shears
High (6)	ST17	<u>Inability to Recruit and Retain Staff</u>	3 - High	2 - Medium	Corporate Resources	Philip Shears, Tim Slater
High (6)	ST08	<u>Economic Uncertainty</u>	2 - Medium	3 - High	Business, Economy and Tourism	Neil Blaney, Martin Flitcroft, Philip Shears
High	ST11	<u>Failure to Comply with Health & Safety</u>	3 - High	2 - Medium	Corporate Resources	Paul Nicholls, Philip Shears

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(6)		<u>Legislation</u>				
High (6)	ST20	<u>Poorly Managed Election</u>	3 - High	2 - Medium	Corporate Resources	Philip Shears
High (6)	ST41	<u>Local Plan - Planned Development Delayed or Not Happening</u>	2 - Medium	3 - High	Planning	Fergus Pate, Philip Shears
High (6)	ST37	<u>Failure of ICT infrastructure and systems not meeting business needs or not being fit for purpose</u>	3 - High	2 - Medium	Corporate Resources	Amanda Pujol
High (6)	ST42	<u>Failure to deliver the Council Strategy</u>	3 - High	2 - Medium	Strategic Direction	Philip Shears
High (6)	ST45	<u>Flood risk resilience</u>	3 - High	2 - Medium	Waste Management and Environmental Health	Neil Blaney, David Eaton
Medium (4)	CP01	<u>Attacks on Staff</u>	2 - Medium	2 - Medium	Corporate Resources	John-Paul Bove, Paul Nicholls
Medium (4)	CP12	<u>Breach of Code of Conduct by Staff</u>	2 - Medium	2 - Medium	Corporate Resources	Tim Slater
Medium (4)	CP08	<u>Future proofing the workforce (was Failure to Improve Staff Capability and Capacity)</u>	2 - Medium	2 - Medium	Corporate Resources	Tim Slater
Medium (4)	ST21	<u>Failure to ensure data quality</u>	2 - Medium	2 - Medium	Corporate Resources	Amanda Pujol
Medium (4)	ST19	<u>Poor or Ineffective Business Continuity Management</u>	2 - Medium	2 - Medium	Corporate Resources	Neil Blaney, David Eaton
Medium	ST09	<u>Inadequately prepared to manage the</u>	2 -	2 - Medium	Waste Management	Lorraine Montgomery, Philip

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(4)		<u>impacts of a major emergency in the District</u>	Medium		and Environmental Health	Shears
Medium (4)	ST06	<u>Statutory Requirements Not Met</u>	2 - Medium	2 - Medium	Corporate Resources	Philip Shears, Karen Trickey, Paul Woodhead
Medium (4)	ST40	<u>Local Plan - Infrastructure Delivery Delay</u>	2 - Medium	2 - Medium	Planning	Fergus Pate, Philip Shears
Medium (4)	CP14	<u>Non-compliance with the Data Protection Act and General Data Protection Regulations</u>	2 - Medium	2 - Medium	Corporate Resources	Sue Heath
Medium (4)	ST46	<u>Failure of ICT security measures leading to a cyber attack</u>	2 - Medium	2 - Medium	Corporate Resources	Amanda Pujol
Medium (3)	CP16	<u>Lack of Effective Risk Management</u>	3 - High	1 - Low	Corporate Resources	Martin Flitcroft, Philip Shears, Jack Williams, Steve Wotton
Medium (3)	ST44	<u>Flood risk management</u>	3 - High	1 - Low	Waste Management and Environmental Health	Neil Blaney, Richard Rainbow
Medium (3)	CP15	<u>Project management</u>	3 - High	1 - Low	Corporate Resources	Lloyd Purchase
Medium (3)	ST47	<u>Impact of Covid-19 on service delivery & resourcing</u>	3 - High	1 - Low	Corporate Resources	Neil Blaney, Lorraine Montgomery, Amanda Pujol
Low (2)	ST14	<u>Fraud and Corruption</u>	2 - Medium	1 - Low	Corporate Resources	Sue Heath, Philip Shears
Low (2)	CP13	<u>Safeguarding</u>	2 - Medium	1 - Low	Corporate Resources	Rebecca Hewitt
Low	CP07	<u>Failure to Collect or Loss of Income</u>	2 -	1 - Low	Corporate Resources	Sue Heath

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Status	Code	Title	Risk Impact	Risk Likelihood	Executive Member	Risk Responsible Officer
(2)			Medium			
Low (2)	ST25	<u>High Levels of Customer Dissatisfaction</u>	2 - Medium	1 - Low	Corporate Resources	Tracey Hooper, Amanda Pujol
Low (2)	ST24	<u>Finance - Breach of Financial Instructions & Contract Rules</u>	2 - Medium	1 - Low	Corporate Resources	Neil Blaney, Martin Flitcroft, Lorraine Montgomery, Amanda Pujol, Philip Shears
Low (2)	ST31	<u>Climate Change - the impact of not reducing our energy use and contribution to CO2 emissions</u>	2 - Medium	1 - Low	Waste Management and Environmental Health	David Eaton, Lorraine Montgomery
Low (2)	ST28	<u>Major damage to our physical premises and/or working environment</u>	2 - Medium	1 - Low	Corporate Resources	David Eaton, Philip Shears
Low (2)	ST32	<u>Non Compliance with Section 106 Agreements and CIL notices</u>	2 - Medium	1 - Low	Planning	Neil Blaney, Philip Shears
Low (2)	ST43	<u>Failure to adopt Teignbridge Local Plan</u>	2 - Medium	1 - Low	Planning	Michelle Luscombe, Philip Shears
Low (1)	CP05	<u>Contractor Poor Performance/Failure</u>	1 - Low	1 - Low	Corporate Resources	Rosie Wilson
Low (1)	ST26	<u>Poor Public Relations Management</u>	1 - Low	1 - Low	Strategic Direction	Amanda Pujol, Louise Raymond

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